

2135
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TRANSMITTAL FORM

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Total Number of Pages in This Submission	Attorney Docket Number	021756-011700US
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<input type="checkbox"/> Remarks The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Townsend and Townsend and Crew LLP		
Signature			
Printed name	William J. Daley		
Date	5-4-2006	Reg. No.	52,471

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May 4, 2006

TOWNSEND and TOWNSEND and CREW LLP

Noorjahan Gillow
Noorjahan Gillow



PATENT

Attorney Docket No.: 021756-011700US

Client Reference No.: OID-2005-167-01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Charles W. Knouse, et al.

Application No.: 09/814,091

Filed: March 21, 2001

For: ACCESS SYSTEM INTERFACE

Confirmation No.: 2979

Examiner: Dada, Beemnet W.

Art Unit: 2135

**SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER
37 CFR §1.97 and §1.98**

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references [in compliance with the requirements of 37 CFR §1.98(a)(2)] are enclosed.

It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

This IDS is being filed before the mailing date of the final Office Action or Notice of Allowance.

Please charge the IDS fee of \$180 to Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



William J. Daley
Reg. No. 52,471

Date: May 4, 2006

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60764743 v1



SUSAN M. KNOUSE 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

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of

11

Complete if Known

Application Number	09/814,091
Filing Date	March 21, 2001
First Named Inventor	Charles W. Knouse
Art Unit	2135
Examiner Name	Dada, Beemnet W.

Attorney Docket Number 021756-011700US

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number Kind Code ² (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1	US-4,484,306	11-20-1984	Kulczykyj et al.	
	A2	US-4,956,769	09-11-1990	Smith	
	A3	US-4,961,224	10-02-1990	Yung	
	A4	US-5,077,666	12-31-1991	Brimm et al.	
	A5	US-5,113,499	05-12-1992	Ankney et al.	
	A6	US-5,226,143	07-06-1993	Baird et al.	
	A7	US-5,428,795	06-27-1995	Johnson et al.	
	A8	US-5,455,953	10-03-1995	Russell	
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	A10	US-5,557,742	09-17-1996	Smaha et al.	
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	A33	US-5,991,771	11-23-1999	Falls et al.	
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	A35	US-5,991,881	11-23-1999	Conklin et al.	

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60764743 v1

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	09/814,091
				Filing Date	March 21, 2001
				First Named Inventor	Charles W. Knouse
				Art Unit	2135
				Examiner Name	Dada, Beemnet W.
(Use as many sheets as necessary)				Attorney Docket Number	
Sheet	2	of	11	021756-011700US	

U.S. PATENT DOCUMENTS					
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	A36	US-5,999,911	12-07-1999	Berg et al.	
	A37	US-6,005,571	12-21-1999	Pachauri	
	A38	US-6,012,059	01-04-2000	Neimat et al.	
	A39	US-6,026,474	02-15-2000	Carter et al.	
	A40	US-6,028,605	02-22-2000	Conrad et al.	
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	A42	US-6,032,227	02-29-2000	Shaheen et al.	
	A43	US-6,041,357	03-21-2000	Kunzelman et al.	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	09/814,091
				Filing Date	March 21, 2001
				First Named Inventor	Charles W. Knouse
				Art Unit	2135
				Examiner Name	Dada, Beemnet W.
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		Attorney Docket Number 021756-011700US			

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number Kind Code ² (<i>f known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A71	US-6,233,618 B1	05-15-2001	Shannon	
	A72	US-6,240,360 B1	05-29-2001	Phelan	
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				Art Unit	2135
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A106		US-2002-0120599 A1	08-29-2002	Knouse et al.	
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A135		US-6,615,218 B2	09-02-2003	Mandal et al.	
A136		US-6,629,132 B1	09-30-2003	Ganguly et al.	
A137		US-6,636,891 B1	10-21-2003	LeClair et al.	
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A141		US-6,697,849 B1	02-24-2004	Carlson	
A142		US-6,711,632 B1	03-23-2004	Chow et al.	
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A149		US-6,763,370 B1	07-13-2004	Schmeidler et al.	
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A154		US-6,804,221 B1	10-12-2004	Magret et al.	
A155		US-6,859,834 B1	02-22-2005	Arora et al.	
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A157		US-6,879,995 B1	04-12-2005	Chinta et al.	
A158		US-6,901,433 B2	05-31-2005	San Andres et al.	
A159		US-6,957,237 B1	10-18-2005	Traversat et al.	

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Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
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	B1					
	B2					

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				<i>Art Unit</i>	2135
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Sheet	6	of	11	<i>Attorney Docket Number</i>	021756-011700US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	C1	Barrett, Debbie, "Diary Of A Break-And-Enter, Cyber Style," Technology in Government, p. 22, January 2000	<input type="checkbox"/>
	C2	Cholter, William La et al., "IBAN: Intrusion Blocker Based On Active Networks," Proceedings of the DARPA Active Networks Conference and Exposition (DANCE'02), 11 pages, 2002	<input type="checkbox"/>
	C3	"DNS - Contents," http://www2.rad.com/networks/1998/dns/main.htm , 15 pages, December 7, 1999	<input type="checkbox"/>
	C4	Easter, C., "Method To Report Access Control Of LAN Server Resources On A Per User Basis," IBM Technical Disclosure Bulletin, p. 172, April 1992	<input type="checkbox"/>
	C5	Good, G., "The LDAP Data Interchange Format (LDIF) - Technical Specification," RFC 2849, 14 pages, June 2000	<input type="checkbox"/>
	C6	Hayes, Jeff, "Policy-Based Authentication And Authorization: Secure Access To The Network Infrastructure," IEEE, pp. 328-333, 2000	<input type="checkbox"/>
	C7	Hewlett-Packard, "HP Introduces Next-Generation Web Authorization Products For E-Business," Press Release, 3 pages, January 18, 1999	<input type="checkbox"/>
	C8	Hewlett-Packard, "HP Introduces Security For Microsoft NT Extranets, Portals And E-Services," Press Release, 3 pages, January 17, 2000	<input type="checkbox"/>
	C9	Hewlett-Packard, "HP Provides Unprecedented Range Of Authentication Options," Press Release, 3 pages, September 1, 1999	<input type="checkbox"/>
	C10	Hodges, J. et al., "Lightweight Directory Access Protocol (v3): Extension For Transport Layer Security," RFC 2830, 12 pages, May 2000	<input type="checkbox"/>
	C11	Howard, L., "An Approach For Using LDAP As A Network Information Service," RFC 2307, 20 pages, March 1998	<input type="checkbox"/>
	C12	Janis, "Reference Monitor-Creating Group Membership, IBM Technical Disclosure Bulletin, p. 431, March 1990	<input type="checkbox"/>

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				<i>Filing Date</i>	March 21, 2001
				<i>First Named Inventor</i>	Charles W. Knouse
				<i>Art Unit</i>	2135
				<i>Examiner Name</i>	Dada, Beemnet W.
Sheet	7	of	11	<i>Attorney Docket Number</i>	021756-011700US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	C13	Luciani, J. et al., "Server Cache Synchronization Protocol (SCSP)," RFC 2334, 39 pages, April 1998	<input type="checkbox"/>
	C14	Netscape Communications Corporation, "Introduction To SSL," http://developer.netscape.com/docs/manuals/security/sslin/contents.htm , 12 pages, October 9, 1998	<input type="checkbox"/>
	C15	Oblix, Inc., "Oblix CSA Solution Administration Guide," Version 3.5, 328 pages, 1999	<input type="checkbox"/>
	C16	Pfitzmann, Birgit et al., "Analysis Of Liberty Single-Sign-On With Enabled Clients," IEEE Internet Computing, pp. 38-44, November/December 2003	<input type="checkbox"/>
	C17	Phipatanauphorn, Veradej et al., "Vulnerability Of Sensor Networks To Unauthorized Traversal And Monitoring," IEEE Transactions on Computers, Vol. 53, No. 3, pp. 364-369, March 2004	<input type="checkbox"/>
	C18	Piscitello, David M. et al., "Project Guards Laptop And Desktop Data," InfoWorld, pp. 48 and 54, June 21, 1999	<input type="checkbox"/>
	C19	Skaggs, B. et al., "Network Vulnerability Analysis," IEEE, pp. III-493-III-495, 2002	<input type="checkbox"/>
	C20	Stokes, E. et al., "Access Control Requirements For LDAP," RFC 2820, 9 pages, May 2000	<input type="checkbox"/>
	C21	Sun Microsystems, Inc., "Appendix B - ACL File Syntax," iPlanet Web Server: FastTrack Edition Administrator's Guide, 7 pages, July 13, 2000	<input type="checkbox"/>
	C22	Sun Microsystems, Inc., "Chapter 2 - Syntax And Use Of obj.conf," iPlanet Web Server, FastTrack Edition NSAPI Programmer's Guide, 16 pages, July 20, 2000	<input type="checkbox"/>
	C23	Sun Microsystems, Inc., "Chapter 12 - Controlling Access To Your Server," iPlanet Web Server: FastTrack Edition Administrator's Guide, 24 pages, July 13, 2000	<input type="checkbox"/>
	C24	US Patent Application No. 09/792,911, Office Action dated September 9, 2004, 18 pages	<input type="checkbox"/>

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	C26	US Patent Application No. 09/792,911, Advisory Action dated August 9, 2005, 3 pages	<input type="checkbox"/>
	C27	US Patent Application No. 09/792,911, Office Action dated December 2, 2005, 13 pages	<input type="checkbox"/>
	C28	US Patent Application No. 09/792,915, Office Action dated July 23, 2004, 17 pages	<input type="checkbox"/>
	C29	US Patent Application No. 09/792,915, Final Office Action dated March 8, 2005, 19 pages	<input type="checkbox"/>
	C30	US Patent Application No. 09/792,915, Final Office Action dated June 30, 2005, 18 pages	<input type="checkbox"/>
	C31	US Patent Application No. 09/792,915, Office Action dated October 4, 2005, 17 pages	<input type="checkbox"/>
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	C33	US Patent Application No. 09/792,918, Final Office Action dated June 21, 2005, 16 pages	<input type="checkbox"/>
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	C36	US Patent Application No. 09/792,934, Final Office Action dated June 2, 2005, 10 pages	<input type="checkbox"/>

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	C40	US Patent Application No. 09/793,196, Advisory Action dated July 21, 2005, 3 pages	<input type="checkbox"/>
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	C42	US Patent Application No. 09/793,320, Office Action dated August 4, 2004, 18 pages	<input type="checkbox"/>
	C43	US Patent Application No. 09/793,320, Final Office Action dated May 10, 2005, 19 pages	<input type="checkbox"/>
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	C47	US Patent Application No. 09/793,354, Final Office Action dated April 19, 2004, 15 pages	<input type="checkbox"/>
	C48	US Patent Application No. 09/793,354, Office Action dated January 4, 2005, 11 pages	<input type="checkbox"/>

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	C56	US Patent Application No. 09/793,658, Final Office Action dated November 2, 2005, 16 pages	<input type="checkbox"/>
	C57	US Patent Application No. 09/793,658, Advisory Action dated January 31, 2006, 3 pages	<input type="checkbox"/>
	C58	US Patent Application No. 09/886,515, Office Action dated December 28, 2004, 35 pages	<input type="checkbox"/>
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	C60	US Patent Application No. 09/886,515, Final Office Action dated February 14, 2006, 36 pages	<input type="checkbox"/>

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	C62	Wahl, M. et al., "Lightweight Directory Access Protocol (v3)," RFC 2251, 48 pages, December 1997		<input type="checkbox"/>
	C63	Wu, Kun-Lung et al., "Personalization With Dynamic Profiler," IEEE, pp. 12-20, 2001		<input type="checkbox"/>
	C64	Yaacovi, Y. et al., "Lightweight Directory Access Protocol (v3): Extensions For Dynamic Directory Services," RFC 2589, 12 pages, May 1999		<input type="checkbox"/>

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